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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named
Inventor : David Ellis et al.

Appln. No.: 09/977,050

Filed : October 12, 2001

For : INTEGRATIVE RISK MANAGEMENT
SYSTEM AND METHOD

Docket No.: S85.12-0001

Group Art Unit:

Examiner:

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION - FILING DATE GRANTED
UNDER 37 C.F.R. §§ 1.53(f) AND 1.16(e)**

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING
SENT BY U.S. MAIL, FIRST CLASS, TO THE
ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231, THIS

13 DAY OF May 2002
[Signature]
PATENT ATTORNEY

Sir:

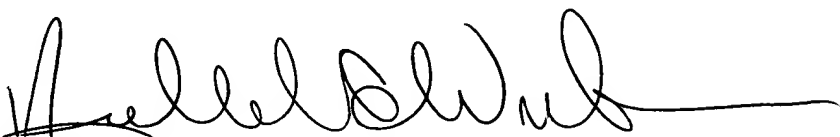
In response to the Notice to File Missing Parts of
Application - Filing Date Granted dated November 14, 2001,
Applicant encloses the following documents to complete the above-
identified patent application filed October 12, 2001:

1. Our PTO Form 2038 in the amount of \$435.00 to cover the
filing fee and surcharge fee.
2. Our PTO Form 2038 in the amount of \$720.00 to cover a
Four-Month Extension of Time.
3. Two (2) separate Combined Declaration and Powers of
Attorney executed by the inventor(s).
4. Fee Calculation Sheet.
5. Notice to File Missing Parts of Application-Filing Date
Granted.

The Director is authorized to charge payment of any additional fees associated with this paper or credit any overpayment to Deposit Account No. 23-1123.

Respectfully submitted,
WESTMAN, CHAMPLIN & KELLY, P.A.



By: 

Nickolas E. Westman, Reg. No. 20,147
Suite 1600 - International Centre
900 Second Avenue South
Minneapolis, Minnesota 55402-3319
Phone: (612) 334-3222 Fax: (612) 334-3312

NEW:lah

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CERTIFICATE OF IDENTITY OF APPLICATION

Box Missing Parts

Assistant Commissioner for Patents
Washington, D.C. 20231

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PATENT ATTORNEY

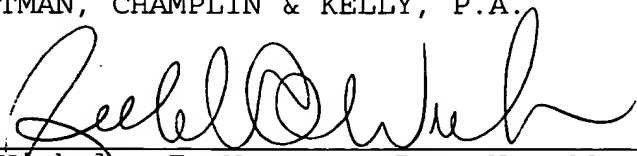
Sir:

The application submitted herewith and the Declaration
executed by Applicants Ellis, Higgs, King, Izanev and Wogan on
November 23, 2001, and applicant Teixeira on November 28, 2001,
which is attached, is the same as the application copy mailed to
the United States Patent and Trademark Office and assigned
Application No. 09/977,050 filed October 12, 2001 for INTEGRATIVE
RISK MANAGEMENT SYSTEM AND METHOD.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By:


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Suite 1600 - International Centre
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NEW:lah



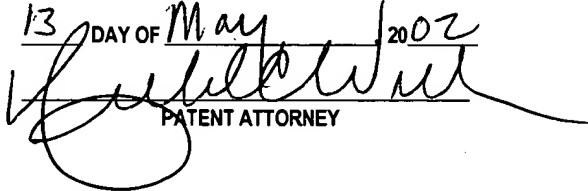
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First Named Inventor : David Ellis et al.	
Appln. No.: 09/977,050	
Filed : October 12, 2001	Group Art Unit:
For : INTEGRATIVE RISK MANAGEMENT SYSTEM AND METHOD	Examiner:
Docket No.: S85.12-0001	

CERTIFICATE OF IDENTITY OF APPLICATION

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Assistant Commissioner for Patents
Washington, D.C. 20231

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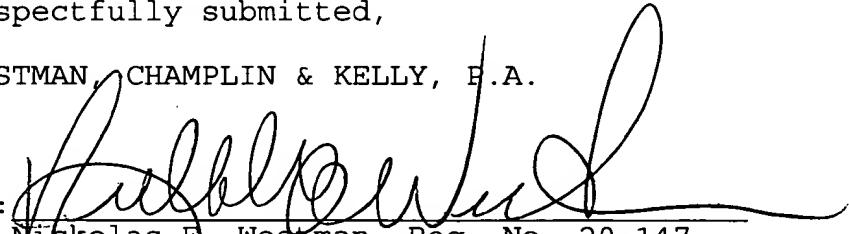
13 DAY OF May 2002

PATENT ATTORNEY

Sir:

The application submitted herewith and the Declaration executed by the applicant Weiner on April 11, 2002, which is attached, is the same as the application copy mailed to the United States Patent and Trademark Office and assigned Application No. 09/977,050 filed October 12, 2001 for INTEGRATIVE RISK MANAGEMENT SYSTEM AND METHOD.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

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Minneapolis, Minnesota 55402-3319
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NEW:lah

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FEE TRANSMITTAL



Complete if Known

Application No.	09/977,050
Filing Date	October 12, 2001
First Named Inventor	David Ellis et al.
Title	INTEGRATIVE RISK MANAGEMENT SYSTEM AND METHOD
Group Art Unit	2161
Examiner Name	
Atty. Docket Number	S85.12-0001

Total Amount of Payment \$ 1281

METHOD OF PAYMENT (Check One)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123.
Westman, Champlin & Kelly, P.A.

2. ☒ PTO Form 2038 Enclosed (2 forms)

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee	Code	Fee	Code		
Fee (\$)	Code	Fee (\$)	Code		
101 740	201 370	<input checked="" type="checkbox"/> Utility Filing Fee			
106 330	206 165	<input type="checkbox"/> Design Filing Fee			
108 740	208 370	<input type="checkbox"/> Reissue Filing Fee			
114 160	214 80	<input type="checkbox"/> Prov. Filing Fee			
Subtotal (1) \$ 370					

2. EXTRA CLAIM FEES

	Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	18	20	0	9	0
Indep.	6	3	3	42	126

Multiple Dependent Claims

** Insert 3 and 20, or number previously paid if greater; Reissue see below

Large Entity		Small Entity		Description
Fee	Code	Fee	Code	
Fee (\$)	Code	Fee (\$)	Code	
103 18	203 9	Claims in excess of 20		
102 84	202 42	Independent claims in excess of 3		
104 280	204 140	Multiple Dependent Claims		
109 84	209 42	Reissue Independent Claims over Original Patent		
110 18	210 9	Reissue claims in excess of 20 and over original patent		

Subtotal (2) \$ 126

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee	Code	Fee	Code		
Fee (\$)	Code	Fee (\$)	Code		
105 130	205 65	Surcharge - Late filing fee or oath			65
127 50	227 25	Surcharge - Late provisional Filing Fee or cover sheet			
139 130	139 130	Non-English specification			
147 2,520	147 2,520	For Filing a Request for Reexamination. (ex parte)			
115 110	215 55	Extension for reply within first month			
116 400	216 200	Extension for reply within second month			
117 920	217 460	Extension for reply within third month			
118 1,440	218 720	Extension for reply within fourth month			720
128 1,960	280 980	Extension for reply within fifth month			
120 320	220 160	Filing a brief in support of an appeal			
121 280	221 140	Request for oral hearing			
148 110	248 55	Terminal Disclaimer Fee			
140 110	240 55	Petition to Revive - unavoidable			
141 1,310	241 670	Petition to Revive - unintentional			
142 1,310	242 670	Utility/Reissue issue fee (inc. advance copies)			
143 490	243 260	Design issue fee (inc. advance copies)			
122 130	122 130	Petitions to the Commissioner			
123 50	123 50	Petitions related to provisional applications			
126 180	126 180	Submission of Information Disclosure Statement			
581 40	581 40	Recording each patent assignment per property (times number of properties)			

Other Fee (specify) _____

Subtotal (3) \$785

Signature Michael E. Westman
(Michael E. Westman)

Reg. No. 20,147Date 5/13/02Deposit Account No. 23-1123



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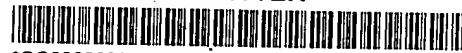
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
Q9/977,050	10/12/2001	David Ellis	S85.12-0001

CONFIRMATION NO. 1800

FORMALITIES LETTER



OC000000007062874

WESTMAN, CHAMPLIN & KELLY, P.A.
International Center
Suite 1600
900 Second Avenue South
Minneapolis, MN 55402-3319

Date Mailed: 11/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$252.
 - \$252 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1122.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

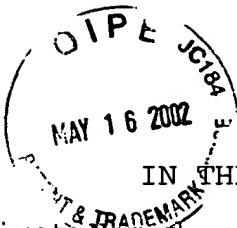
PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/17/2002 SFELEKE1 00000045 09977050

01 FC:201
02 FC:205

370.00 OP
65.00 OP

05/20/2002 ZIUHAR1 00000064 231123 09977050
01 FC:202 126.00 CH



#7

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Examiner:

LETTER TO OFFICIAL DRAFTSPERSON

Commissioner for Patents
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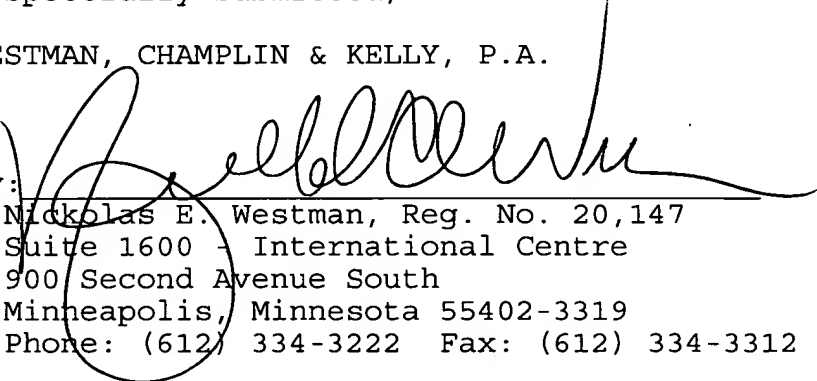
Sir:

Enclosed are **two** (2) sheets of formal drawings for
filing in the above-identified application.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By:


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